



Designation of Managing Principal

Pursuant to T.C.A. § 45-13-125(b), each mortgage lender or mortgage loan broker shall file a form as prescribed by the commissioner indicating the business's designation of **managing principal** and branch manager for each branch and each individual's acceptance of the responsibility.

Name of Licensee or Registrant _____

License/Registration # _____

Name and Title of Managing Principal _____

Address of Licensee or Registrant _____

Street, City, State, Zip

Telephone: _____

Fax: _____

By my signature below, I accept the responsibility as Managing Principal to be in charge of the business operations and activities in Tennessee of the above named Licensee or Registrant

Signature and Title of Managing Principal

Subscribed and sworn to before me on

this ____ day of _____, _____. (Notary Seal)

Notary _____

My Commission Expires _____

Certificate of Resolution:

(Not applicable if Sole Proprietor)

This is to certify that a meeting of the _____ or

Board of Directors if Corporation

_____ of _____ was held on the _____ day

Members if LLC

Name of Entity

of _____, 20____. The following resolution was duly and legally presented and

adopted by the majority vote, to-wit: _____ is hereby named

Managing Principal

and authorized to be the Managing Principal for _____ in

Entity

compliance with T.C.A. § 45-13-125.

Signature and Title

Date

Please return completed form(s) to: TN Department of Financial Institutions
Compliance Division: Attn. Registrations
511 Union Street, 4th Floor
Nashville, TN 37219